

ASPECT ADVISORY ACADEMY

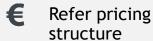
TECHNICAL SEMINAR







Virtual | On-site



Have a question? Send us a mail to: aaa.aspectadvisory.eu

Connected Clients Under CRR/ Borrower Units Under German Banking Act

OVERVIEW:

Legally independent clients have to be typically considered as one (super) client in the Large Exposure-, and Million Loan regime if there is a control unit (one legal entity controls via majority shareholding another legal entity) or risk unit (economic dependence). As a consequence, exposures to these units have to be aggregated into one exposure for Large Exposure and Million loan reporting. Furthermore, the credit worthiness assessment must be done on the connected client level, even if not every connected client is a client of the bank. Recently, the EBA has provided more guidance through the EBA RTS 2022/ 12 (Dec. 2022). After the seminar participants will be familiar with the conditions to set and or not to set up a unit of connected clients..

AGENDA OUTLINE:

1 Day Seminar - please refer following slide

WHO SHOULD ATTEND:

We believe that this seminar is most useful for participants from:

- Supervisors
- · Regulatory Reporting / Affairs
- · Risk management
- Risk controlling
- Internal audit
- Model Validation

SEMINAR FORMAT:

- This seminar will be conducted in English
- This session will be conducted via Microsoft Teams. On completion of your registration you will receive a link to the meeting

COURSE MATERAIL:

- Sessions are recorded and could be obtained on request at the end of the seminar
- Trainers presentation slide decks could be obtained on request at the end of the seminar
- Certificates will be awarded at the completion of the seminar

CERTIFICATE:

Certificates will be emailed to the participants on completion of the seminar



Connected Clients Under CRR/ Borrower Units Under German Banking Act

SAMPLE SCHEDULE

ASPECT ADVISORY ACADEMY

TECHNICAL SEMINAR

1 DAY Connected Clients Under CRR/ Borrower Units Under German Banking Act 1. Motivation 09:00 2. COREP/ regulatory reporting: Large Exposures 10:30 Morning coffee break 3. Borrower units - control unit (§19(2) KWG) 10:45 · Majority-owned firm Formal minority-owned firms, but actual majority Partnerships and liable partners 12:15 Group of spouses 4. Risk units - control unit (§19(2) KWG) 13:30 Supplier dependence Chain of dependency Sales dependence 15:00 Funding dependence Afternoon coffee break 5. Co-existence of control and economic dependency (2-way dependency) 15:15 4. Examples 17:00

Please note:

This is a sample schedule and the session topics is illustrative and subject to change.

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